

10-09-01

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UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)
Only for new nonprovisional applications under 37 C.F.R. 1.53(b)

Docket No.:
3101-A

Express Mail Label No.:
EL867783743US

TO THE ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

NECTIN POLYPEPTIDES, POLYNUCLEOTIDES, METHODS OF MAKING AND USE THEREOF

and invented by:

Peter R. Baum, Seattle, WA, William C. Fanslow III, Normandy Park, WA, Timothy E. Lofton, Marysville, WA, Eric A. Sorensen, Lynnwood, WA, and Adel Youakim, Seattle, WA.

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract (67 pages total)
3. ☒ Drawing(s); Number of Sheets 4
4. ☐ Oath or Declaration
 - a. ☐ Newly executed
 - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (for continuation/divisional application only)
 - c. ☐ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)

The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Paper copy
 - ☐ Pages _____ - _____ of specification
 - ☒ Separately numbered pages 1 -69
 - b. ☒ Computer Readable Copy
 - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
 - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

10/05/01
J1036 U.S. PTO

J1036 U.S. PTO
09/972268
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09/972268-100501

Accompanying Application Parts

8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation
(reel _____ frame _____)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☐ Preliminary Amendment
11. ☒ Acknowledgment postcard
12. ☒ Certificate of Mailing by Express Mail (Label No.: EL867783743US)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☐ Additional Enclosures (*please identify below*):

Bibliographic data sheet (2 pages)

Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	53	- 20 =	33	x \$18.00	\$594.00
Indep. Claims	5	- 3 =	2	x \$84.00	\$168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$740.00
OTHER FEE (<i>specify purpose</i>)					\$0.00
TOTAL FILING FEE					\$1,502.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,502 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:

Joseph R. Baker
 Registration No. 40,900
 Dated: October 5, 2001



22932

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Docket No.: 3101-A

Peter R. Baum, William C. Fanslow III, Timothy E. Lofton, Eric A. Sorensen
and Adel Youakim

Serial No.: -- to be assigned--

Filing Date: October 4, 2001

For: NECTIN POLYPEPTIDES, POLYNUCLEOTIDES, METHODS OF
MAKING AND USE THEREOF

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL LABEL NUMBER: EL867783743US

I hereby certify that the following correspondence is enclosed and is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard

Application for Patent Cover Sheet (2 pages + copy)

Specification, claims and abstract (67 pgs.)

4 Sheets of Drawings (figures 1 - 4)

Sequence Listing (69 pgs)

Sequence Statement

Diskette containing Sequence Listing

Bibliographic Data Sheet (2 pages)

Signed: Elizabeth M. McCarthy
Elizabeth M. McCarthy

Date: October 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Docket No.: 3101-A

Peter R. Baum, William C. Fanslow III, Timothy E. Lofton, Eric A. Sorensen
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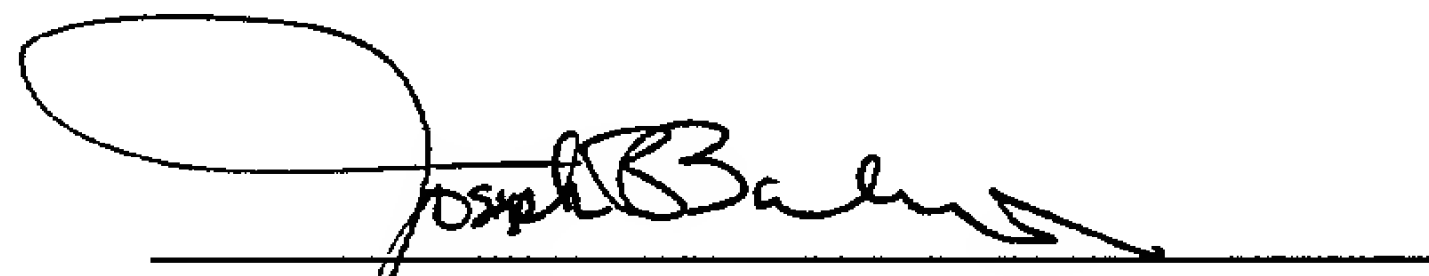
STATEMENT UNDER 37 CFR 1.821(f)

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants by their undersigned representative hereby state that the contents of the paper copy and the computer-readable copy of the Sequence Listing submitted herewith are the same.

Respectfully submitted,



Joseph R. Baker
Registration No. 40,900

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